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DATE MAILED: 12/29/2009

#### NOTICE OF ALLOWANCE AND FEE(S) DUE

46169 7590 1229/2009 SHOOK, HARDY & BACON L.L.P. (Cerner Corporation) Intellectual Property Department 2555 GRAND BOULEVARD

KANSAS CITY, MO 64108-2613

EXAMINER

NGUYEN, TRAN N

ART UNIT PAPER NUMBER

1676

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/665,560      | 09/22/2003  | Kent D. Parkins      | CRNI.107552         | 6167             |

TITLE OF INVENTION: SYSTEM AND METHOD FOR MULTI-DIMENSIONAL EXTENSION OF DATABASE INFORMATION

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1510        | \$300               | \$0                  | \$1810           | 03/29/2010 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

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If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

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III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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| appropriate. All further<br>indicated unless correcte<br>maintenance fee notifical  | correspondence includir<br>ed below or directed oth  | or transmitting the 1880<br>ig the Patent, advance of<br>nerwise in Block 1, by (a   | rders and notification of r<br>a) specifying a new corres   | naintenance fees wi<br>pondence address;   | ill be n<br>and/or                            | nailed to the current<br>(b) indicating a sepa   | correspondence address as<br>trate "FEE ADDRESS" for  |
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| (Cerner Corpora<br>Intellectual Prop  | erty Department  |  |   | Certi  | ificate                                       | of Mailing or Trans  |   |
| 2555 GRAND B<br>KANSAS CITY   | , MO 64108-2613  |  |   |  |   |  | (Depositor's name)  |
|   |  |  | <u> </u>  |  |   |  | (Signature)   |
|   |  |  |   |  |   |  | (Date)  |
| APPLICATION NO.   | FILING DATE  |  | FIRST NAMED INVENTOR  |  | ATTOR   | RNEY DOCKET NO.  | CONFIRMATION NO.  |
| 10/665,560<br>TITLE OF INVENTION  | 09/22/2003<br>SYSTEM AND METE  | OD FOR MULTI-DIME  | Kent D. Parkins   | OF DATABASE IN   |   | CRNL107552<br>MATION   | 6167  |
| APPLN, TYPE   | SMALL ENTITY   | ISSUE FEE DUE  | PUBLICATION FEE DUE   | PREV. PAID ISSUE   | FEE   | TOTAL FEE(S) DUE   | DATE DUE  |
| nonprovisional  | NO   | \$1510   | \$300   | \$0  |   | \$1810   | 03/29/2010  |
| EXAM  | INER   | ART UNIT   | CLASS-SUBCLASS  |  |   |  |   |
| NGUYEN,   | TRAN N   | 3626   | 705-002000  |  |   |  |   |
| "Fee Address" ind. PTO/SB/47; Rev 03-0 Number is required.  3. ASSIGNEE NAME A  | ondence address (or Cha<br>3/122) attached.<br>ication (or "Fee Address<br>2 or more recent) attach<br>ND RESIDENCE DATZ<br>ess an assignee is ident<br>h in 37 CFR 3.11. Comp | nge of Correspondence  "Indication form ed. Use of a Customer A TO BE PRINTED ON   | 2. For printing on the p (1) the names of up to or agents OR, alternati (2) the name of a singl registered attorney or a 2 registered patent atto listed, no name will be THE PATENT (print or typ data will appear on the p (7 a substitute for filing an (B) RESIDENCE: (CITY | 3 registered patent<br>vely,<br>e firm (having as a<br>agent) and the name<br>meys or agents. If n<br>printed.   | membe<br>s of up<br>o name                    | er a 2   | ocument has been filed for  |
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|   | s SMALL ENTITY state   | is. See 37 CFR 1.27.   | ☐ b. Applicant is no lon  |  |   |  |   |
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| Authorized Signature  |  |  |   | Date   |   |  |   |
| Typed or printed name   |  |  | Registration No   |  |   |  |   |
| This collection of inform<br>an application. Confident<br>submitting the complete<br>this form and/or suggesti<br>Box 1450, Alexandria, V<br>Alexandria, Virginia 223 | ation is required by 37 C<br>tiality is governed by 35<br>I application form to the<br>ons for reducing this but<br>irginia 22313-1450. DC<br>13-1450.                         | FR 1.311. The informatic<br>U.S.C. 122 and 37 CFR<br>USPTO. Time will vary<br>rden, should be sent to the<br>ONOT SEND FEES OR | on is required to obtain or r<br>1.14. This collection is est<br>r depending upon the indiv<br>e Chief Information Office<br>COMPLETED FORMS TO   | etain a benefit by th<br>imated to take 12 m<br>idual case. Any cor<br>r, U.S. Patent and T<br>D'THIS ADDRESS.   | e publi<br>inutes<br>nments<br>fradem<br>SEND | c which is to file (and<br>to complete, includir<br>s on the amount of tis<br>ark Office, U.S. Dep<br>TO: Commissioner | by the USPTO to process)<br>g gathering, preparing, and<br>me you require to complete<br>artment of Commerce, P.O.<br>for Patents, P.O. Box 1450, |

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OMB 0651-0033 PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.



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# UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NO.  | FILING DATE |           | ING DATE FIRST NAMED INVENTOR   |                | CONFIRMATION NO. |  |  |
|--|-------------|-----------|---------------------------------|----------------|------------------|--|--|
| 10/665,560   | 09/22/20    | 03        | Kent D. Parkins                 | CRN1.107552    | 6167             |  |  |
| 46169  | 7590 1      | 2/29/2009 |                                 | EXAMINER       |                  |  |  |
| SHOOK, HARDY & BACON L.L.P.                              |             |           |                                 | NGUYEN, TRAN N |                  |  |  |
| (Cerner Corporation)                                     |             |           | ART UNIT                        | PAPER NUMBER   |                  |  |  |
| Intellectual Property Department<br>2555 GRAND BOULEVARD |             |           | 3626<br>DATE MAILED: 12/29/2009 |                |                  |  |  |

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 905 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 905 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# Application No. Applicant(s) 10/665,560 PARKINS ET AL. Notice of Allowability Examiner Art Unit Tran Nauven 3626 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to 12/04/2009. The allowed claim(s) is/are 2-5,7 and 46. 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). b) \( \subseteq \text{Some\* c} \) \( \subseteq \text{None of the:} \) a) $\square$ All 1. T Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). \* Certified copies not received: \_\_\_\_\_. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1. Notice of References Cited (PTO-892) 5. Notice of Informal Patent Application 2. Notice of Draftperson's Patent Drawing Review (PTO-948) Interview Summary (PTO-413), Paper No./Mail Date 3. Information Disclosure Statements (PTO/SB/08), 7. X Examiner's Amendment/Comment Paper No./Mail Date 4. T Examiner's Comment Regarding Requirement for Deposit 8. X Examiner's Statement of Reasons for Allowance of Biological Material

/T. N./ Examiner, Art Unit 3626 9. ☐ Other .

### DETAILED ACTION

# Response to Amendment

As per the Office Action mailed 10/13/2009:

The rejection of claims 1-11 under 35 USC 101 is hereby withdrawn in view of Applicant's cancellation of claims 1, 6, 8-11 and amendment of claims 2-5, 7.

## EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with SMITH, KRISTIN, Attorney for Applicant on 12/9/2009.

The application has been amended as follows:

Please amend step (f) of claim 46 as follows:

"f) repeating step (de) above on the second dimension of data to create a third dimension of data, and repeating step (de) on subsequently generated dimensions of data until the target number of dimensions of data has been created:"

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# Allowable Subject Matter

The following is an examiner's statement of reasons for allowance:

As per claim 46, the primary reason for the indication of allowable subject matter is the recitation of the following limitations in the combination as recited and not found in the closest prior art available of record:

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 c) entering into the computer a pharality of matching criteria, wherein each criterion is user-generated or machine-generated;

- d) the computer analyzing the first dimension of data based on the matching criteria to determine a target number of dimensions of data;
- o) for each medical record in the first dimension of data, using a computer
- i) identify data in each data field of the medical record being analyzed;
- ii) compare the data in each data field of the medical records either medical records of the first dimension of data;
- iii) determine if the comparison of step (ii) above meets at least one of the matching criteria;
- iv) linking the two medical records together based on the result of step (iii) above;
- v) storing the linked medical records as a single medical record in a second dimension of data;
- f) repeating step (d) above on the second dimension of data to create a third dimension of data, and repeating step (d) on subsequently generated dimensions of data until the target number of dimensions of data has been created;

The closest available prior art of record are as follows:

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Streepy (20020198885) teaches organizing patient data into a multi-level data structure (Abstract and throughout); however, Streepy does not teach linking data together to form multiple levels based on predetermined matching criteria.

Pedersen (Multidimensional database technology) teaches using multidimensional databases for medical data (page 45 column 1 paragraph 2); however, Pedersen does not teach linking data together to form multiple levels based on predetermined matching criteria.

A search was also conducted on foreign patents; however, no relevant art was found.

Based on the evidence presented above, Examiner submits that the closest prior art available of record do not anticipate or otherwise render obvious the claimed invention.

As per claims 2-5, 7, these claims are also found to be allowable for at least the same rationale as applied to claim 46 above, and incorporated herein.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably Application/Control Number: 10/665,560

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accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tran (Ken) N. Nguyen whose telephone number is 571-270-1310. The examiner can normally be reached on Monday - Friday, 9:00 am - 5:00 pm Eastern.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, C. Luke Gilligan can be reached on 571-272-6770. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/T. N./ Examiner, Art Unit 3626 12/13/2009 Application/Control Number: 10/665,560 Page 7

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/C. Luke Gilligan/ Supervisory Patent Examiner, Art Unit 3626